

EXHIBIT B

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American National Standard (ANSI)*

IEEE Std 802.1X-2001

IEEE Standard for
Local and metropolitan area networks—
Port-Based Network Access Control

Sponsor

**LAN/MAN Standards Committee
of the
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Abstract: *Port-based network access control makes use of the physical access characteristics of IEEE 802® Local Area Networks (LAN) infrastructures in order to provide a means of authenticating and authorizing devices attached to a LAN port that has point-to-point connection characteristics, and of preventing access to that port in cases in which the authentication and authorization process fails.*

Keywords: *authentication, authorization, controlled Port, Local Area Networks, Port Access Control, uncontrolled Port*

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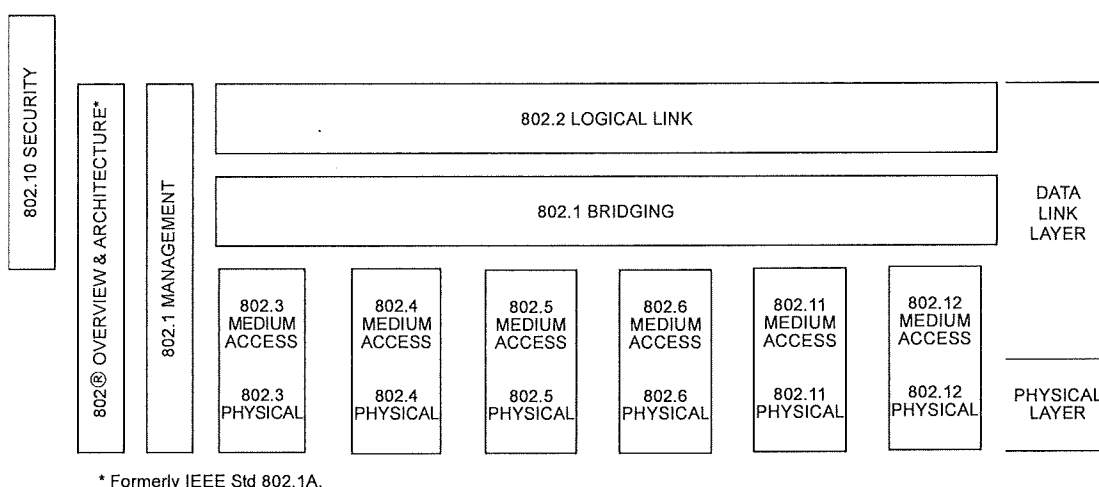
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Introduction

(This introduction is not part of IEEE Std 802.1X-2001, IEEE Standards for Local and Metropolitan Area Networks: Port-Based Network Access Control.)

This standard defines a mechanism for Port-based network access control that makes use of the physical access characteristics of IEEE 802 LAN infrastructures in order to provide a means of authenticating and authorizing devices attached to a LAN port that has point-to-point connection characteristics, and of preventing access to that port in cases in which the authentication and authorization process fails.

This standard is part of a family of standards for local and metropolitan area networks. The relationship between the standard and other members of the family is shown below. (The numbers in the figure refer to IEEE standard numbers.)



This family of standards deals with the Physical and Data Link Layers as defined by the International Organization for Standardization (ISO) Open Systems Interconnection Basic Reference Model (ISO/IEC 7498-1:1994). The access standards define several types of medium access technologies and associated physical media, each appropriate for particular applications or system objectives. Other types are under investigation.

The standards defining the technologies noted above are as follows:

- IEEE Std 802¹: *Overview and Architecture.* This standard provides an overview to the family of IEEE 802 Standards. This document forms part of the IEEE 802.1 scope of work.
- ANSI/IEEE Std 802.1B and 802.1K [ISO/IEC 15802-2]: *LAN/MAN Management.* Defines an Open Systems Interconnection (OSI) management-compatible architecture, and services and protocol elements for use in a LAN/MAN environment for performing remote management.

¹The 802 Architecture and Overview Specification, originally known as IEEE Std 802.1A, has been renumbered as IEEE Std 802. This has been done to accommodate recognition of the base standard in a family of standards. References to IEEE Std 802.1A should be considered as references to IEEE Std 802.

- ANSI/IEEE Std 802.1D: *Media Access Control (MAC) Bridges*. Specifies an architecture and protocol for the [ISO/IEC 15802-3]: interconnection of IEEE 802 LANs below the MAC service boundary.
- ANSI/IEEE Std 802.1E [ISO/IEC 15802-4]: *System Load Protocol*. Specifies a set of services and protocol for those aspects of management concerned with the loading of systems on IEEE 802 LANs.
- ANSI/IEEE Std 802.1F: *Common Definitions and Procedures for IEEE 802 Management Information*.
- ANSI/IEEE Std 802.1G [ISO/IEC 15802-5]: *Remote Media Access Control (MAC) Bridging*. Specifies extensions for the interconnection, using non-LAN systems communication technologies, of geographically separated IEEE 802 LANs below the level of the logical link control protocol.
- ANSI/IEEE Std 802.1H [ISO/IEC TR 11802-5]: *Recommended Practice for Media Access Control (MAC) Bridging of Ethernet V2.0 in IEEE 802 Local Area Networks*.
- ANSI/IEEE Std 802.1Q: *Virtual Bridged Local Area Networks*. Defines an architecture for Virtual Bridged LANs, the services provided in Virtual Bridged LANs, and the protocols and algorithms involved in the provision of those services.
- ANSI/IEEE Std 802.2 [ISO/IEC 8802-2]: *Logical Link Control*.
- ANSI/IEEE Std 802.3 [ISO/IEC 8802-3]: *CSMA/CD Access Method and Physical Layer Specifications*.
- ANSI/IEEE Std 802.4 [ISO/IEC 8802-4]: *Token Bus Access Method and Physical Layer Specifications*.
- ANSI/IEEE Std 802.5 [ISO/IEC 8802-5]: *Token Ring Access Method and Physical Layer Specifications*.
- ANSI/IEEE Std 802.6 [ISO/IEC 8802-6]: *Distributed Queue Dual Bus Access Method and Physical Layer Specifications*.
- ANSI/IEEE Std 802.10: *Interoperable LAN/MAN Security*. Currently approved: Secure Data Exchange (SDE).
- ANSI/IEEE Std 802.11: [ISO/IEC 8802-11] *Wireless LAN Medium Access Control (MAC) Sublayer and Physical Layer Specifications*.
- ANSI/IEEE Std 802.12: [ISO/IEC 8802-12] *Demand Priority Access Method, Physical Layer and Repeater Specification*.
- IEEE Std 802.15: *Wireless Medium Access Control (MAC) and Physical Layer (PHY) Specifications for Wireless Personal Area Networks*.
- IEEE Std 802.16: *Standard Air Interface for Fixed Broadband Wireless Access Systems*.
- IEEE Std 802.17: *Resilient Packet Ring Access Method and Physical Layer Specifications*.

In addition to the family of standards, the following is a recommended practice for a common physical layer technology:

- IEEE Std 802.7: *IEEE Recommended Practice for Broadband Local Area Networks.*

The reader of this standard is urged to become familiar with the complete family of standards.

Conformance test methodology

An additional standards series, identified by the number IEEE 1802, has been established to identify the conformance test methodology documents for the IEEE 802 family of standards. Thus the conformance test documents for IEEE 802.3 are numbered IEEE 1802.3, the conformance test documents for IEEE 802.5 will be 1802.5, and so on. Similarly, ISO will use ISO/IEC 18802 to number conformance test standards for ISO/IEC 8802 standards.

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it could be argued that authentication is not necessary because the Supplicant PAE has already been authenticated. However, it is possible in some environments to reinitialize a machine, bypass the normal login, and access the Authenticator System's services. To prevent an unauthorized user from accessing the Authenticator by reinitializing an authenticated machine, a Supplicant initiation of authentication is necessary upon reinitialization.

8.4.2.1 Authenticator initiation

The Authenticator PAE will typically initiate the conversation when it receives an indication that the Port has become operable. Before authentication commences, the Port state is forced to the unauthorized state.

If the Supplicant's Identity is not known, then the Authenticator PAE initiates the authentication sequence by sending an EAP-Request/Identity frame. This is typically how an Authenticator PAE will begin the authentication exchange. A Supplicant PAE receiving an EAP-Request frame from the Authenticator PAE responds with an EAP-Response frame.

Authenticator PAEs may support periodic reauthentication, and they may request that a Port reauthenticate at any time. For example, if the Authenticator System reinitializes, the authentication state can be recovered by issuing EAP-Request/Identity frames on all Ports. If a controlled Port is in the authorized state prior to reauthentication, then it will remain in that state during reauthentication. If the authentication fails for a controlled Port that was in the authorized state during reauthentication, then the controlled Port's authorization state is transitioned to unauthorized in order to control external access to that Port in accordance with the current value of the OperControlledDirections parameter (6.4).

8.4.2.2 Supplicant initiation

In order to request that the Authenticator PAE initiate authentication, the Supplicant PAE sends an EAPOL-Start packet (7.5.4). The Authenticator PAE receiving an EAPOL-Start packet responds by sending an EAP-Request/Identity packet.

8.4.3 EAPOL-Logoff

When a Supplicant wishes the Authenticator PAE to perform a logoff (i.e., to set the controlled Port state to unauthorized), the Supplicant PAE originates an EAPOL-Logoff message (7.5.4) to the Authenticator PAE. As a result, the Authenticator PAE immediately places the controlled Port in the unauthorized state.

NOTE—In general, it is advisable for the Supplicant PAE to originate an EAPOL-Logoff in any circumstances in which the user of the Supplicant System has logged off (in the case of an end station), or in which the operation of the Supplicant System has been reconfigured in a manner that would invalidate any previous authentication results (for example, a management change that affects the Supplicant System's identity, or its authorization to use the services of the Authenticator's System).

8.4.4 Timing out authorization state information

Authenticator PAEs can time out the authorization state information on a periodic basis by means of the Reauthentication Timer State Machine (8.5.7). The time period for such timeouts is reAuthPeriod seconds since the last time that the authorization state was confirmed. The state variable reAuthEnabled controls whether periodic reauthentication takes place.

Reauthentication can be enabled and disabled, and the reAuthPeriod modified, by management. The default settings are for the reAuthPeriod to be 3600 s (one hour) and for reauthentication to be disabled.

NOTE—As with Authenticator and Supplicant initiated reauthentication, the implications of setting this to a lower value should be carefully thought out before proceeding. The value chosen will be affected by the reliability with which the MAC associated with the Port can detect and indicate MAC enabled/disabled conditions. If the Port's detection of MAC state is reliable, then longer timeout values may be appropriate.

9.4.1.3 Reauthenticate**9.4.1.3.1 Purpose**

To cause the Authenticator PAE state machine for the Port to reauthenticate the Supplicant.

9.4.1.3.2 Inputs

- a) **Port number.** The identification number assigned to the Port by the System in which the Port resides.

9.4.1.3.3 Outputs

None.

9.4.1.3.4 Effect

This operation causes the reauthenticate variable (8.5.2.2) for the Port's Authenticator PAE state machine to be set TRUE.

9.4.2 Authenticator Statistics

The Authenticator Statistics managed object models the operations that modify, or enquire about, the statistics associated with the operation of the Authenticator. There is a single Authenticator Statistics managed object for each Port that supports Authenticator functionality.

The management operations that can be performed on the Authenticator Statistics managed object are as follows:

- Read Authenticator Statistics (9.4.2.1)

9.4.2.1 Read Authenticator Statistics**9.4.2.1.1 Purpose**

To solicit statistical information regarding the operation of the Authenticator associated with a Port.

9.4.2.1.2 Inputs

- **Port number.** The identification number assigned to the Port by the System in which the Port resides.

9.4.2.1.3 Outputs

- a) **Port number.** The identification number assigned to the Port by the System in which the Port resides.
- b) **EAPOL frames received.** The number of valid EAPOL frames of any type that have been received by this Authenticator.
- c) **EAPOL frames transmitted.** The number of EAPOL frames of any type that have been transmitted by this Authenticator.
- d) **EAPOL Start frames received.** The number of EAPOL Start frames that have been received by this Authenticator.

- e) **authAuthSuccessWhileAuthenticating** (see 8.5.4.2.4 for the definition of this counter).
- f) **authAuthTimeoutsWhileAuthenticating** (see 8.5.4.2.5 for the definition of this counter).
- g) **authAuthFailWhileAuthenticating** (see 8.5.4.2.6 for the definition of this counter).
- h) **authAuthReauthsWhileAuthenticating** (see 8.5.4.2.7 for the definition of this counter).
- i) **authAuthEapStartsWhileAuthenticating** (see 8.5.4.2.8 for the definition of this counter).
- j) **authAuthEapLogoffWhileAuthenticating** (see 8.5.4.2.9 for the definition of this counter).
- k) **authAuthReauthsWhileAuthenticated** (see 8.5.4.2.10 for the definition of this counter).
- l) **authAuthEapStartsWhileAuthenticated** (see 8.5.4.2.11 for the definition of this counter).
- m) **authAuthEapLogoffWhileAuthenticated** (see 8.5.4.2.12 for the definition of this counter).
- n) **backendResponses** (see 8.5.8.2.1 for the definition of this counter).
- o) **backendAccessChallenges** (see 8.5.8.2.2 for the definition of this counter).
- p) **backendOtherRequestsToSupplicant** (see 8.5.8.2.3 for the definition of this counter).
- q) **backendNonNakResponsesFromSupplicant** (see 8.5.8.2.4 for the definition of this counter).
- r) **backendAuthSuccesses** (see 8.5.8.2.5 for the definition of this counter).
- s) **backendAuthFails** (see 8.5.8.2.6 for the definition of this counter).

9.4.4 Authenticator Session Statistics

The Authenticator Session Statistics managed object models the operations that modify, or enquire about, the statistics associated with a Session. There is a single Authenticator Session Statistics managed object for each Port that supports Authenticator functionality.

The managed object records the statistics for the current session (if there is an active session, i.e., the portStatus variable for the Authenticator PAE state machine is set to Authorized), or the previous session (if there is no active session, i.e., the portStatus variable for the Authenticator PAE state machine is set to Unauthorized).

The management operations that can be performed on the Authenticator Session Statistics managed object are as follows:

- Read Authenticator Statistics (9.4.2.1)

The session statistics associated with each Port are maintained for the duration of a session, i.e., for the period of time during which the Port is authenticated. The statistics parameters are initialized, by setting their values to zero, at the point where the portStatus variable (see 8.5.2.2, 8.5.4) of the Authenticator PAE State machine transitions from Unauthorized to Authorized. While the value of portStatus remains Authorized, the session statistics are updated in accordance with their individual parameter definitions. The values of the session statistics are frozen, and not further updated, when the value of portStatus becomes Unauthorized.

NOTE—The session parameters identified here are suitable for communication to a RADIUS server at the end of a session for accounting purposes (see draft-ietf-radius-accounting-v2); defining them in this way makes the current session parameter values available to management before the end of a session. The parameters defined may also be suitable for communication using backend authentication mechanisms supported by protocols other than RADIUS.

9.5.2 Supplicant Statistics

The Supplicant Statistics managed object models the operations that modify, or enquire about, the statistics associated with the operation of the Supplicant. There is a single Supplicant Statistics managed object for each Port that supports Supplicant functionality.

The management operations that can be performed on the Supplicant Statistics managed object are as follows:

- Read Supplicant Statistics (9.5.2.1)

9.5.2.1 Read Supplicant Statistics

9.5.2.1.1 Purpose

To solicit statistical information regarding the operation of the Supplicant associated with a Port.

9.5.2.1.2 Inputs

- **Port number.** The identification number assigned to the Port by the System in which the Port resides.

9.5.2.1.3 Outputs

- a) **Port number.** The identification number assigned to the Port by the System in which the Port resides.
- b) **EAPOL frames received.** The number of EAPOL frames of any type that have been received by this Supplicant.
- c) **EAPOL frames transmitted.** The number of EAPOL frames of any type that have been transmitted by this Supplicant.
- d) **EAPOL Start frames transmitted.** The number of EAPOL Start frames that have been transmitted by this Supplicant.
- e) **EAPOL Logoff frames transmitted.** The number of EAPOL Logoff frames that have been transmitted by this Supplicant.
- f) **EAP Resp/Id frames transmitted.** The number of EAP Resp/Id frames that have been transmitted by this Supplicant.
- g) **EAP Response frames transmitted.** The number of valid EAP Response frames (other than Resp/Id frames) that have been transmitted by this Supplicant.
- h) **EAP Req/Id frames received.** The number of EAP Req/Id frames that have been received by this Supplicant.
- i) **EAP Request frames received.** The number of EAP Request frames (other than Rq/Id frames) that have been received by this Supplicant.
- j) **Invalid EAPOL frames received.** The number of EAPOL frames that have been received by this Supplicant in which the frame type is not recognized.
- k) **EAP length error frames received.** The number of EAPOL frames that have been received by this Supplicant in which the Packet Body Length field (7.5.5) is invalid.
- l) **Last EAPOL frame version.** The protocol version number carried in the most recently received EAPOL frame.
- m) **Last EAPOL frame source.** The source MAC address carried in the most recently received EAPOL frame.

10.4.1 Relationship to the managed objects defined in Clause 9

Table 10-1 contains cross-references between the objects defined in Clause 9 and the MIB objects defined in this clause.

Table 10-1—Managed object cross-reference table

Definition in Clause 9	MIB object(s)
9.6.1 System Configuration	dot1xPaeSystem
Port number	dot1xPaePortNumber (table index)
SystemAuthControl	dot1xPaeSystemAuthControl
Protocol version	dot1xPaePortProtocolVersion
PAE capabilities	dot1xPaePortCapabilities
Initialize Port	dot1xPaePortInitialize
9.4.1 Authenticator Configuration	dot1xAuthConfigTable
Port number	dot1xPaePortNumber (table index)
Authenticator PAE State	dot1xAuthPaeState
Backend Authentication State	dot1xAuthBackendAuthState
AdminControlledDirections	dot1xAuthAdminControlledDirections
OperControlledDirections	dot1xAuthOperControlledDirections
AuthControlledPortStatus	dot1xAuthAuthControlledPortStatus
AuthControlledPortControl	dot1xAuthAuthControlledPortControl
quietPeriod	dot1xAuthQuietPeriod
txPeriod	dot1xAuthTxPeriod
suppTimeout	dot1xAuthSuppTimeout
serverTimeout	dot1xAuthServerTimeout
maxReq	dot1xAuthMaxReq
reAuthPeriod	dot1xAuthReAuthPeriod
reAuthEnabled	dot1xAuthReAuthEnabled
KeyTransmissionEnabled	dot1xAuthKeyTxEnabled
Reauthenticate	dot1xPaePortReauthenticate
9.4.2 Authenticator Statistics	dot1xAuthStatsTable
Port number	dot1xPaePortNumber (table index)
EAPOL frames received	dot1xAuthEapolFramesRx
EAPOL frames transmitted	dot1xAuthEapolFramesTx
EAPOL Start frames received	dot1xAuthEapolStartFramesRx

Table 10-1—Managed object cross-reference table (continued)

Definition in Clause 9	MIB object(s)
EAPOL Logoff frames received	dot1xAuthEapolLogoffFramesRx
EAP Resp/Id frames received	dot1xAuthEapolRespIdFramesRx
EAP Response frames received	dot1xAuthEapolRespFramesRx
EAP Req/Id frames transmitted	dot1xAuthEapolReqIdFramesTx
EAP Request frames transmitted	dot1xAuthEapolReqFramesTx
Invalid EAPOL frames received	dot1xAuthInvalidEapolFramesRx
EAP length error frames received	dot1xAuthEapLengthErrorFramesRx
Last EAPOL frame version	dot1xAuthLastEapolFrameVersion
Last EAPOL frame source	dot1xAuthLastEapolFrameSource
9.4.3 Authenticator Diagnostics	dot1xAuthDiagTable
authEntersConnecting	dot1xAuthEntersConnecting
authEapLogoffsWhileConnecting	dot1xAuthEapLogoffsWhileConnecting
authEntersAuthenticating	dot1xAuthEntersAuthenticating
authAuthSuccessWhileAuthenticating	dot1xAuthAuthSuccessWhileAuthenticating
authAuthTimeoutsWhileAuthenticating	dot1xAuthAuthTimeoutsWhileAuthenticating
authAuthFailWhileAuthenticating	dot1xAuthAuthFailWhileAuthenticating
authAuthReauthsWhileAuthenticating	dot1xAuthAuthReauthsWhileAuthenticating
authAuthEapStartsWhileAuthenticating	dot1xAuthAuthEapStartsWhileAuthenticating
authAuthLogoffWhileAuthenticating	dot1xAuthAuthEapLogoffWhileAuthenticating
authAuthReauthsWhileAuthenticated	dot1xAuthAuthReauthsWhileAuthenticated
authAuthEapStartsWhileAuthenticated	dot1xAuthAuthEapStartsWhileAuthenticated
authAuthLogoffWhileAuthenticated	dot1xAuthAuthEapLogoffWhileAuthenticated
backendResponses	dot1xAuthBackendResponses
backendAccessChallenges	dot1xAuthBackendAccessChallenges
backendOtherRequestsToSupplicant	dot1xAuthBackendOtherRequestsToSupplicant
backendNonNakResponsesFromSupplicant	dot1xAuthBackendNonNakResponsesFromSupplicant
backendAuthSuccesses	dot1xAuthBackendAuthSuccesses
backendAuthFails	dot1xAuthBackendAuthFails
9.4.4 Authenticator Session Statistics	dot1xAuthSessionStatsTable
Port number	dot1xPaePortNumber (table index)
Session Octets Received	dot1xAuthSessionOctetsRx

Table 10-1—Managed object cross-reference table (continued)

Definition in Clause 9	MIB object(s)
Session Octets Transmitted	dot1xAuthSessionOctetsTx
Session Frames Received	dot1xAuthSessionFramesRx
Session Frames Transmitted	dot1xAuthSessionFramesTx
Session Identifier	dot1xAuthSessionId
Session Authentication Method	dot1xAuthSessionAuthenticMethod
Session Time	dot1xAuthSessionTime
Session Terminate Cause	dot1xAuthSessionTerminateCause
Session User Name	dot1xAuthSessionUserName
9.5.1 Supplicant Configuration	dot1xSuppConfigTable
Port number	dot1xPaePortNumber (table index)
Supplicant PAE State	dot1xSuppPaeState
heldPeriod	dot1xSuppHeldPeriod
authPeriod	dot1xSuppAuthPeriod
startPeriod	dot1xSuppStartPeriod
maxStart	dot1xSuppMaxStart
9.5.2 Supplicant Statistics	dot1xSuppStatsTable
Port number	dot1xPaePortNumber (table index)
EAPOL frames received	dot1xSuppEapolFramesRx
EAPOL frames transmitted	dot1xSuppEapolFramesTx
EAPOL Start frames transmitted	dot1xSuppEapolStartFramesTx
EAPOL Logoff frames transmitted	dot1xSuppEapolLogoffFramesTx
EAP Resp/Id frames transmitted	dot1xSuppEapolRespIdFramesTx
EAP Response frames transmitted	dot1xSuppEapolRespFramesTx
EAP Req/Id frames received	dot1xSuppEapolReqIdFramesRx
EAP Request frames received	dot1xSuppEapolReqFramesRx
Invalid EAPOL frames received	dot1xSuppInvalidEapolFramesRx
EAP length error frames received	dot1xSuppEapLengthErrorFramesRx
Last EAPOL frame version	dot1xSuppLastEapolFrameVersion
Last EAPOL frame source	dot1xSuppLastEapolFrameSource